02/20/2009 12:28

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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FURIW 3X	For C	Other Than An	Authorized Cor	mmittee	Off	ice Use Only
NAME OF COMMITTEE (in fi		FEC MAILING LAE YPE OR PRINT	BEL Example:I over the lir	f typing, type nes		
INVESTMENT CC	MPANY INSTIT	UTE POLITICAL A	CTION COMMITTE	E (ICI PAC)		
ADDRESS (number and	street) 14	01 H STREET NW	SUITE 1200			
Check if differ than previousl reported. (AC	y w	ASHINGTON			DC	20005
2. FEC IDENTIFICA	TION NUMBER	~	CITY 🛕		STATE	ZIPCODE 🛕
C00105981			3. IS THIS REPORT	NEW (N) OR	X AMENI	DED
July 15 Quarterly October Quarterly X January 3 Quarterly July 31 N Report(N Year Onl	orts: Report(Q1) Report(Q2) 15 Report(Q3) 31 Report(YE) Iid-Year on-election	(d) 30-Day Post -Elect Report for t	con he: Conve	May 20 (M5) Jun 20 (M6) Jul 20 (M7) Try (12P) Pention (12C) ral (30G)	Aug 20 (Sep 20 (I Oct 20 (I General (12G Special (12G) Runoff (30R)	M9)
5. Covering Period	1 1	25 200	8 thr	rough 12	31 2	0 0 8
I certify that I have exam Type or Print Name of T		and to the best of r	ny knowledge and be	lief it is true, correct	and complete.	
Signature of Treasurer	Electronically	Filed by Mr Pete	r Gallary		Date 02	20 2009
NOTE : Submission of	alse, erroneous,	or incomplete infor	mation may subject th	ne person signing thi	is Report to the pen	alties of 2 U.S.C 437g.
Office Use						FEC FORM 3X

FE6AN026

SUMMARY PAGE

Page 2

OF RECEIPTS AND DISBURSEMENTS FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC) [®] D D 25 2008 1.2 3 1 2008 1.1 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 2008 179484.95 January 1 (b) Cash on Hand at 277461.96 Begining of Reporting Period 134026.54 793439.18 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 411488.50 972924.13 6(a) and 6(c) for Column B) 6533.24 567968.87 7. Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 404955.26 404955.26 (subtract Line 7 from Line 6(d)) 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) This Committee has qualified as a multicandidate committee. (see FEC FORM 1M) For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) Page 3

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
1. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	108400.00	672100.00
(ii) Unitemized	600.00	6400.00
(iii) TOTAL (add	100000	
Lines 11(a)(i) and (ii)	109000.00	678500.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	25000.00	106500.00
(such as PACs)(d) Total Contributions (add Lines	2000.00	100000.00
11(a)(iii),(b) and (c)) (Carry	134000.00	785000.00
Totals to Line 33, page 5)	134000.00	783000.00
. Transfers From Affiliated/Other	0.00	0.00
Party Committees	0.00	0.00
. All Loans Received	0.00	0.00
Lean Barananta Barainad	0.00	0.00
Loan Repayments Received Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)	0.00	0.00
(Carry Totals to Line 37, page 5)		
to Federal candidates and Other	0.00	8333.81
Political Committees	0.00	0333.01
Other Federal Receipts (Dividends, Interest, etc.)	26.54	105.37
Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account	0.00	0.00
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
. Total Receipts (add Lines 11(d),	101000 51	700400 40
12, 13, 14, 15, 16, 17, and 18(c))	134026.54	793439.18
Total Federal Receipts	10 1000 71	
(subtract Line 18(c) from Line 19)	134026.54	793439.18

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) Page 4

II. DISBURSE		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
 Operating Expenditu (a) Shared Federal 			
Activity (from S		0.00	0.00
(i) Federal Sh	are		
(ii) Non-Feder	al Share	0.00	0.00
(b) Other Federal C		0.00	-2288.21
Expenditures (c) Total Operating	Evpenditures	0.00	-2280.21
)(ii) and (b))	0.00	-2288.21
2. Transfers to Affiliate	d/Other Party		
Committees		0.00	0.00
Federal Candidates/	Committees	8579.16	571746.93
4. Independent Expend			
(use Schedule E) 5. Coordinated Expend	tures Made by Party	0.00	0.00
Committees (2 U.S.(C. 441a(d))	0.00	0.00
(use scriedule i)			
6. Loan Repayments M	ade	0.00	0.00
7. Loans Made		0.00	0.00
 Refunds of Contribution Individuals/Person 	ions To:		
	ommittees	0.00	500.00
(b) Political Party C	ommittees	0.00	0.00
(c) Other Political (0.00	0.00
· · · · · · · · · · · · · · · · · · ·	Defined	0.00	0.00
` '), (b), and (c))	0.00	500.00
9. Other Disbursement	s	-2045.92	-1989.85
D. Fadami Floritas Asi	: 'L (0.11.0.0.404(00))		
 Federal Election Act (a) Shared Federal 	• • • • • • • • • • • • • • • • • • • •		
(from Schedule	•	0.00	0.00
(i) Federal Shar	e	0.00	0.00
(ii) "Levin" Sha	e	0.00	0.00
	Activity Paid Entirely	0.00	0.00
With Federal Fu	nds	0.00	0.00
(c) Total Federal El Lines 30(a)(i),	ection Activity (add 30(a)(ii) and 30(b))	0.00	0.00
1. Total Disbursements	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `	6533.24	567968.87
23, 24, 23, 20, 21, 2	8(d), 29 and 30(c))	0000.24	307333.07
2. Total Federal Disbu	rsements		
(subtract Line 21(a)			
from Line 31)		6533.24	567968.87

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	134000.00	785000.00
34.	Total Contribution Refunds (from Line 28(d))	0.00	500.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	134000.00	784500.00
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	-2288.21
37.	Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	-2288.21

FE6AN026

SCHEDULE A (FEC Form 3X)

SCHEDULE A (FEC Form 3X TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 33 (check only one) 11a 11b X 11c 12 13 14 15 16
or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	d Statements may not be sold or used by any potthe name and address of any political committeen. TE POLITICAL ACTION COMMITTEE (e to solicit contributions from such committee.
Full Name (Last, First, Middle Initial)		
Deutsche Bank Americas PAC Mailing Address 1399 New York Ave Suite 500	. NW	Date of Receipt 1 2 0 4 2 0 0 8
City Washington	State Zip Code DC 20005	Transaction ID: 7539586 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00428896	5000.00
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	
Full Name (Last, First, Middle Initial) JPMorgan Chase & Co. PAC Mailing Address 10 S. Dearborn Stre	et	Date of Receipt
City	State Zip Code	12 16 2008
<u>Chicago</u>	IL 60603	Transaction ID: 7552005 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00128512	5000.00
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	
Full Name (Last, First, Middle Initial) Bank of America PAC		Date of Receipt
Mailing Address 101 South Tryon Str NC1-002-33-31	reet	12 18 2008
City Charlotte	State Zip Code NC 28255	Transaction ID: 7552720 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	
SUBTOTAL of Receipts This Page (optional	1	15000.00

	SCHEDULE A (FEC Form 3X)		11	FOR LINE NUMBER: PAGE 7/33
	-		Use separate schedule(s) for each category of the	(check only one)
	TEMIZED RECEIPTS		Detailed Summary Page	11a 11b X 11c 12
				13 14 15 16 17
	Any information copied from such Reports and St or for commercial purposes, other than using the	atements may name and add	y not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)			
	NVESTMENT COMPANY INSTITUTE	POLITICAL	_ ACTION COMMITTEE (ICI	PAC)
Α.	Full Name (Last, First, Middle Initial) The Goldman Sachs Group Political Action Comm	l.		Date of Receipt
	Mailing Address 101 Constitution Avenu Suite 100 East			12 22 YYYY 12 22 2008
	City	State	Zip Code	Transaction ID: 7554864
	Washington	DC	20004	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer	Occupation	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 5000.00	
В.	Full Name (Last, First, Middle Initial) The Charles Schwab Corporation PAC			Date of Receipt
	Mailing Address 101 Montgomery Stree	İ		1 2 2 3 2 0 0 8
	City	State	Zip Code	Transaction ID: 7556018
	San Francisco	CA	94104	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer	Occupation	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 5000.00	

SUBTOTAL of Receipts This Page (optional)	>	10000.00
TOTAL This Period (last page this line number only)		25000.00

SCHEDULE A (FEC FOI ITEMIZED RECEIPTS	M 3X) Use separate schedule(s for each category of the Detailed Summary Page	(Crieck drily drie)
or for commercial purposes, other that NAME OF COMMITTEE (In Full)	oorts and Statements may not be sold or used by any nousing the name and address of any political commits STITUTE POLITICAL ACTION COMMITTEE	tee to solicit contributions from such committee.
Full Name (Last, First, Middle Init Mr. Kenneth A Lewis Mailing Address One Franklir City San Mateo		Date of Receipt M M J D D J Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
FEC ID number of contributing federal political committee. Name of Employer Franklin Resources, Inc. Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation EVP & CFO Aggregate Year-to-Date 500.00	500.00
Full Name (Last, First, Middle Init Ms. Amy Lancellotta Mailing Address 1401 H Stree Suite 1200 City Washington FEC ID number of contributing federal political committee. Name of Employer Investment Company Institute Receipt For: Primary General Other (specify)	·	Date of Receipt M M
Full Name (Last, First, Middle Init Mr. Madison S Gulley Mailing Address 700 Central City Saint Petersburg FEC ID number of contributing federal political committee. Name of Employer Templeton Funds Distributor, Inc. Receipt For: Primary General Other (specify)	,	
SUBTOTAL of Receipts This Page	optional)	2000.00

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 33 (check only one) X 11a
Ai	ny information copied from such Reports and story commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may e name and add	not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	INVESTMENT COMPANY INSTITUT	E POLITICAL	. ACTION COMMITTEE (IC	FI PAC)
	Full Name (Last, First, Middle Initial) Mr. Robert S Kapito Mailing Address 40 E. 52nd St			Date of Receipt
	7th Floor	State	Zip Code	12 16 2008
	New York	NY	10022-5911	Transaction ID: 7551997 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer BlackRock, Inc.	Occupation Vice Chair		
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00	
_	Full Name (Last, First, Middle Initial) Susan L Wagner			Date of Receipt
	Mailing Address 40 E. 52nd St 7th Floor			1 2 1 6 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 7551998
	New York	NY	10022-5911	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer BlackRock Advisors, LLC	Occupation COO	1	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00	
	Full Name (Last, First, Middle Initial) Mr. Bob Grohowski			Date of Receipt
	Mailing Address 1401 H Street NW Suite 1200			12 16 2008
	City	State DC	Zip Code 20005-2202	Transaction ID: 7551999
	Washington FEC ID number of contributing federal political committee.	C	20005-2202	Amount of Each Receipt this Period 500.00
	Name of Employer Investment Company Instit- ute	Occupation Senior Co		
	Receipt For:	Aggregate	Year-to-Date ▼	_
	Primary General Other (specify) ▼		500.00	
				10500.00

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 33 (check only one) X 11a
4	Any information copied from such Reports and sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any persidress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NVESTMENT COMPANY INSTITUT	E POLITICA	L ACTION COMMITTEE (IC	I PAC)
Α.	Full Name (Last, First, Middle Initial) Mr. Frank J Nasta			Date of Receipt
	Mailing Address 100 Park Ave	Ctata	7in Codo	12 16 2008
	City New York	State NY	Zip Code 10017-5516	Transaction ID: 7552001 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	10017-5510	500.00
	Name of Employer J.P. Morgan Investment Ma- nagement, Inc	Occupation Managin	on g Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	
— В.	Full Name (Last, First, Middle Initial) Mr. Robert Deutsch	<u> </u>		Date of Receipt
	Mailing Address 1049 Tilghman Rd			12 16 2008
	City	State	Zip Code	Transaction ID: 7552002
	Chesterbrook FEC ID number of contributing federal political committee.	PA C	19087-5879	Amount of Each Receipt this Period 500.00
	Name of Employer J.P. Morgan Fleming Asset Mgmt	Occupation Managin	on g Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	
С. С.	Full Name (Last, First, Middle Initial) Mr. Seth P. Bernstein			Date of Receipt
	Mailing Address 245 Park Ave Floor 3			12 16 2008
	City New York	State NY	Zip Code	Transaction ID: 7552003
	FEC ID number of contributing federal political committee.	C	10167-0001	Amount of Each Receipt this Period 1000.00
	Name of Employer JPMorgan Asset Management	Occupation Global H	n lead of Fixed Income	
	Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 1000.00	
	SUBTOTAL of Receipts This Page (optional) .			2000.00
F	TOTAL This Period (last page this line number			

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 33 (check only one) X
NAME OF COMMITTEE (In Full)	and Statements may not be sold or used by any persong the name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. James E Staley	OTE POLITICAL ACTION CONMITTEL (IO	Date of Receipt
Mailing Address 245 Park Ave Floor 3 City New York	State Zip Code NY 10167-0001	Transaction ID: 7552004 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer JPMorgan Asset Management Receipt For: Primary General Other (specify) ▼	Occupation Managing Director Aggregate Year-to-Date 1000.00	
Full Name (Last, First, Middle Initial) Ms. Evelyn E Guernsey Mailing Address 606 Cantitoe St		Date of Receipt 1 2 1 6 2 0 0 8
City	State Zip Code	Transaction ID: 7552006
Bedford	NY 10506-1107	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer J.P. Morgan Chase & Co.	Occupation Managing Director	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. Don Auerbach		Date of Receipt
Mailing Address 1401 H Street NW Suite 1200		12 22 2008
City	State Zip Code	Transaction ID: 7554861
Washington FEC ID number of contributing federal political committee.	DC 20005-2202	Amount of Each Receipt this Period 500.00
Name of Employer Investment Company Instit- ute Receipt For:	Occupation Director - Financial Services Policy	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
SUBTOTAL of Receipts This Page (option	al)	2500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 12/33 (check only one) X 11a 11b 11c 12 13 14 15 16 17
or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	statements may not be sold or used by any person in name and address of any political committee to see POLITICAL ACTION COMMITTEE (ICI F	olicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Mike McNamee Mailing Address 1401 H Street NW Suite 1200 City Washington FEC ID number of contributing federal political committee. Name of Employer Investment Company Institute Receipt For: Primary General Other (specify)	State Zip Code DC 20005-2202 C Occupation Senior Director, Public Communication Aggregate Year-to-Date 500.00	Date of Receipt M
Full Name (Last, First, Middle Initial) Mr. Ari Burstein Mailing Address 1401 H Street NW Suite 1200 City Washington FEC ID number of contributing federal political committee. Name of Employer Investment Company Institute Receipt For: Primary General Other (specify)	State Zip Code DC 20005-2202 C Occupation Senior Counsel Aggregate Year-to-Date 250.00	Date of Receipt M M D D Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Mr. John Amboian Mailing Address 333 W. Wacker Dr. City Chicago FEC ID number of contributing federal political committee. Name of Employer Nuveen Receipt For: Primary General Other (specify)	State Zip Code IL 60606-1218 C Occupation CEO Aggregate Year-to-Date 5000.00	Date of Receipt M
SUBTOTAL of Receipts This Page (optional)	_	5750.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE	tatements may not be sold or used by any personame and address of any political committee to POLITICAL ACTION COMMITTEE (ICI	solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Peter A Harbeck Mailing Address Harborside Financial C 3200 Plaza 5 City Jersey City FEC ID number of contributing federal political committee. Name of Employer AIG SunAmerica Group Receipt For: Primary General Other (specify)	State Zip Code NJ 07311-4992 C Occupation President & CEO Aggregate Year-to-Date 5000.00	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Mr. William J Lucas Mailing Address 3001 Summer St City Stamford FEC ID number of contributing federal political committee. Name of Employer Fairfield University Receipt For: Primary General Other (specify)	State Zip Code CT 06905-4317 C Occupation Director Aggregate Year-to-Date 1000.00	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Mr. John R Costantino Mailing Address 3001 Summer St City Stamford FEC ID number of contributing federal political committee. Name of Employer Walden Partners, Ltd. Receipt For: Primary General Other (specify)	State Zip Code CT 06905-4317 C Occupation Managing Partner Aggregate Year-to-Date 1000.00	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	·····	7000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 33 (check only one) X 11a 11b 11c 12
		, ,	13 14 15 16 17
Any information copied from such Reports and S or for commercial purposes, other than using the	Statements mage name and add	y not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
NVESTMENT COMPANY INSTITUTE	E POLITICAI	_ ACTION COMMITTEE (ICI	PAC)
Full Name (Last, First, Middle Initial) Mr. Randall W Merk			Date of Receipt
Mailing Address 101 Montgomery St			12 29 2008
City	State	Zip Code	Transaction ID: 7558070
San Francisco	CA	94104-4104	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		5000.00
Name of Employer Schwab Funds	Occupatio EVP & P	n resident, Schwab Fin. Produc	cts
Receipt For:	Aggregate	e Year-to-Date ▼	
Primary General Other (specify) ▼		5000.00	
Full Name (Last, First, Middle Initial) Maureen A Gemma, Esq.			Date of Receipt
Mailing Address 255 State St			12 29 2008
City	State	Zip Code	Transaction ID: 7558072
Boston	MA	02109-2682	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		1000.00
Name of Employer Eaton Vance Funds	Occupatio Chief Leg	n gal Officer	
Receipt For:	Aggregate	e Year-to-Date ▼	
Primary General Other (specify) ▼		1000.00	
Full Name (Last, First, Middle Initial) Mr. Scott Page	1		Date of Receipt
Mailing Address 19 Kipling Rd			12 29 2008
City	State	Zip Code	Transaction ID: 7558073
Wellesley	MA	02481-5206	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1000.00
		2	
Name of Employer Eaton Vance	Occupatio Portfolio	Manager	
Name of Employer Eaton Vance Receipt For: Primary General Other (specify) ▼	Portfolio		

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 15 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16
or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	nd Statements may not be sold or used by any person the name and address of any political committee to JTE POLITICAL ACTION COMMITTEE (ICI	solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Thomas E Faust, Jr.	`	Date of Receipt
Mailing Address The Eaton Vance B 255 State St.	ldg.	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 7558074
Boston	MA 02109-2682	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer Eaton Vance	Occupation President & Chief Investment Officer	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	5000.00	
Full Name (Last, First, Middle Initial) Mr. Duncan Richardson		Date of Receipt
Mailing Address 55 Mossfield Rd		12 29 2008
City	State Zip Code	Transaction ID: 7558075
<u>Waban</u>	MA 02468-1921	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Eaton Vance	Occupation Executive VP	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. Jeffrey P Beale		Date of Receipt
Mailing Address 40 Chestnut St		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 7558076
Salem	MA 01970-3129	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Eaton Vance Management	Occupation Vice President & CAO	
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
		7000.00

	ULE A (FEC Form 3X) ED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 33 (check only one) X 11a
or for comm	ercial purposes, other than using the	tatements may name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
\	OF COMMITTEE (In Full) TMENT COMPANY INSTITUTE	POLITICAL	_ ACTION COMMITTEE (ICI	I PAC)
Mr. Fred				Date of Receipt
Mailing A	Address 255 State St			12 29 2008
City		State	Zip Code	Transaction ID: 7558077
<u>Boston</u>		MA	02109-2682	Amount of Each Receipt this Period
	number of contributing olitical committee.	C		1000.00
Name of Eaton Va	Employer ance Management	Occupation Chief Leg	n gal Officer	
	For: mary General her (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	
Full Nam Ms. Lisa	ne (Last, First, Middle Initial) M Jones			Date of Receipt
Mailing A	Address 16A Laurel Hollow Rd			12 29 7 2008
City		State	Zip Code	Transaction ID: 7558078
<u>Boxford</u>		MA	01921-2323	Amount of Each Receipt this Period
	number of contributing olitical committee.	C		1000.00
Eaton Va		Occupation Manager	n Institutional Business	
	For: mary General her (specify) ♥	Aggregate	e Year-to-Date ▼ 1000.00]
Full Nam	ne (Last, First, Middle Initial) h Saryan			Date of Receipt
Mailing A	Address 30 Hancock St			12 29 2008
City <u>Cambri</u>	dge	State MA	Zip Code 02139-3150	Transaction ID: 7558079 Amount of Each Receipt this Period
	number of contributing olitical committee.	C		1000.00
Name of Eaton Va	Employer ance	Occupation Vice Pres		
	For: mary General her (specify) ▼	· '	e Year-to-Date ▼ 1000.00	
SUBTOTA	L of Receipts This Page (optional)			3000.00

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17 / 33 (check only one) X 11a
Any information copied from such Reports a or for commercial purposes, other than usin NAME OF COMMITTEE (In Full)	and Statements may not be sold or used by any persong the name and address of any political committee to	on for the purpose of soliciting contributions
INVESTMENT COMPANY INSTIT	TUTE POLITICAL ACTION COMMITTEE (ICI	PAC)
Full Name (Last, First, Middle Initial) Mr. Matthew T Witkos Mailing Address OFF Chats Of		Date of Receipt
Mailing Address 255 State St		12 29 7 2008
City	State Zip Code	Transaction ID: 7558080
Boston FEC ID number of contributing federal political committee.	MA 02109-2682	Amount of Each Receipt this Period 1000.00
Name of Employer Eaton Vance Distributors, Inc.	Occupation President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00]
Full Name (Last, First, Middle Initial) Mr. Michael R Mach		Date of Receipt
Mailing Address 15 Winter St		12 29 2008
City	State Zip Code	Transaction ID: 7558081
Lincoln	MA 01773-3401	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Eaton Vance	Occupation VP Portfolio Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Ms. Cynthia Jane Clemson		Date of Receipt
Mailing Address 49 Myrtle Ter		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Winchester	State Zip Code MA 01890-3128	Transaction ID: 7558082 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Eaton Vance	Occupation Vice President	_
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
SUBTOTAL of Receipts This Page (option	nal)	3000.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may	Use separate schedule(s) for each category of the Detailed Summary Page y not be sold or used by any personant tests of any political committee to	FOR LINE NUMBER: PAGE 18 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16 17 on for the purpose of soliciting contributions a solicit contributions from such committee
NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITU	<u> </u>		
Full Name (Last, First, Middle Initial) Mr. Thomas M Metzold Mailing Address 5 Longmeadow Dr			Date of Receipt
City	State	Zip Code	1 2 2 9 2 0 0 8 Transaction ID: 7558083
Westwood	MA	02090-1080	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1000.00
Name of Employer Eaton Vance	Occupation Portfolio		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. Walter A Row, III			Date of Receipt
Mailing Address 62 Gregory Island F	Rd		12 29 2008
City	State	Zip Code	Transaction ID: 7558084
South Hamilton	MA	01982-2637	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1000.00
Name of Employer Eaton Vance	Occupation Director I	n Equity Research	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.00]
Full Name (Last, First, Middle Initial) Mr. Michael W Weilheimer			Date of Receipt
Mailing Address 342 Dudley Rd			12 29 7 2008
City Newton Center	State MA	Zip Code 02459-2829	Transaction ID: 7558085 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1000.00
Name of Employer Eaton Vance	Occupation Bond Ma		
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1000.00	
SUBTOTAL of Receipts This Page (optional	al)		3000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 19 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16 1
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any persole name and address of any political committee to	n for the purpose of soliciting contributions
1 \	E POLITICAL ACTION COMMITTEE (ICI	PAC)
Full Name (Last, First, Middle Initial) Mr. Payson Swaffield		Date of Receipt
Mailing Address 108 Walpole St	State Zip Code	12 29 2008
City Dover	State Zip Code MA 02030-1603	Transaction ID: 7558086 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Eaton Vance	Occupation Chief Income Investment Officer	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial) Mr. Robert MacIntosh		Date of Receipt
Mailing Address 50 Hillcrest PKWY		12 29 7 2008
City	State Zip Code	Transaction ID: 7558087
Winchester	MA 01890-1428	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Eaton Vance Corporation	Occupation CFO	
Receipt For: Primary General Other (specify) ♥	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. G. West Saltonstall		Date of Receipt
Mailing Address 245 Chestnut Hill Rd		12 / 29 / 4 2008
City	State Zip Code	Transaction ID: 7558088
Chestnut Hill	MA 02467-1313	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Eaton Vance	Occupation President & CEO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
SUBTOTAL of Receipts This Page (optional)		3000.00
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number	·	

SCHEDULE A (FEC FO	fo	se separate schedule(s) r each category of the etailed Summary Page	FOR LINE NUMBER: PAGE 20 / 33 (check only one) X
Any information copied from such R or for commercial purposes, other the NAME OF COMMITTEE (In Full INVESTMENT COMPANY I	an using the name and address	of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Ini Mr. Robert Whelan Mailing Address 2 Avery St Apt 24-C City Boston FEC ID number of contributing	State MA	Zip Code 02111-1002	Date of Receipt M M M
Receipt For: Primary Other (specify) ▼	Occupation Chief Financi Aggregate Year		
Full Name (Last, First, Middle Ini Mr. Conrad Herrmann Mailing Address One Frankli	n Pkwy.	Zip Code	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y
San Mateo FEC ID number of contributing federal political committee. Name of Employer Franklin Resources, Inc. Receipt For:	Occupation Portfolio Man Aggregate Year		Amount of Each Receipt this Period 1000.00
Primary General Other (specify) ▼ Full Name (Last, First, Middle Ini Mr. Stephen Potter	1 1 1	1000.00	Date of Receipt
Mailing Address 50 S. LaSal City Chicago FEC ID number of contributing federal political committee.	State	Zip Code 60675-0001	Transaction ID: 7563218 Amount of Each Receipt this Period 5000.00
Name of Employer Northern Trust Global Inv- estments Receipt For: Primary General Other (specify) ▼	Occupation President Aggregate Year	-to-Date ▼ 5000.00	
SUBTOTAL of Receipts This Page	(optional)		7000.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 21 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16
NAME OF COMMITTEE (In Full)	d Statements may not be sold or used by any pers the name and address of any political committee to	con for the purpose of soliciting contributions o solicit contributions from such committee.
/	TE POLITICAL ACTION COMMITTEE (IC	CI PAC)
Full Name (Last, First, Middle Initial) Mr. John T Hailer Mailing Address 399 Boylston St.		Date of Receipt
10th Fl.	State Zip Code	1 2 3 0 2 0 0 8 Transaction ID: 7563476
Boston	MA 02116-3305	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2500.00
Name of Employer Natixis Global Asset Mana- gement	Occupation President & CEO	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 2500.00	
Full Name (Last, First, Middle Initial) Mr. Peter Martin Mailing Address 399 Boylston St.		Date of Receipt
	01-1- 7'- 0-1-	12 30 2008
City	State Zip Code MA 02116-3305	Transaction ID: 7563478
Boston FEC ID number of contributing federal political committee.	MA 02116-3305	Amount of Each Receipt this Period 500.00
Name of Employer Natixis Global Asset Mana- gement, L.P.	Occupation President, Institutional Services	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Mr. Matthew T Raynor		Date of Receipt
Mailing Address 399 Boylston St.		1 2 3 0 2 0 0 8
City Boston	State Zip Code MA 02116-3305	Transaction ID: 7563493 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Natixis Asset Management	Occupation Regional Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
SUBTOTAL of Receipts This Page (optional)	1	3500.00

	EDULE A (FEC Form 3X) ZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 22 / 33 (check only one) X 11a
or for co	rmation copied from such Reports and S mmercial purposes, other than using the E OF COMMITTEE (In Full) ESTMENT COMPANY INSTITUTE	name and add	dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Ms. C	Name (Last, First, Middle Initial) Coleen Downs Dinneen ng Address 399 Boylston St.	State	Zip Code	Date of Receipt 1 2 3 0 2 0 0 8 Transaction ID: 7563494
Bosi FEC	ton ID number of contributing al political committee.	MA	02116-3305	Amount of Each Receipt this Period 500.00
Name Natix geme	e of Employer is Global Asset Mana-		n seneral Counsel e Year-to-Date 500.00	
Ms. S	Name (Last, First, Middle Initial) Sharon Wratchford ng Address 399 Boylston St.			Date of Receipt 1 2 3 0 2 0 0 8
City		State	Zip Code	Transaction ID: 7563495
	ton ID number of contributing al political committee.	C	02116-3305	Amount of Each Receipt this Period 500.00
Name Natix	e of Employer is Distributors, L.P.	Occupatio SVP, Ch	n ief Administrative Officer	
Rece	ipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00]
<u>Mr. D</u>	Name (Last, First, Middle Initial)			Date of Receipt
	ng Address 399 Boylston St.			12 30 2008
City Bost	ton	State MA	Zip Code 02116-3305	Transaction ID: 7563496 Amount of Each Receipt this Period
FEC	ID number of contributing al political committee.	C	02110 0000	250.00
<u>geme</u>			irector of Us Affiliates	
Rece	ipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	
SUBTO	OTAL of Receipts This Page (optional)			1250.00

SCHEDULE A (FEC Form 3X)

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 23 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16
Ar	ny information copied from such Reports and for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	ne name and ad	dress of any political committee to	o solicit contributions from such committee.
	INVESTMENT COMPANY INSTITUT	E POLITICAI	L ACTION COMMITTEE (IC	I PAC)
	Full Name (Last, First, Middle Initial) Mr. David L Ginnta			Date of Receipt
	Mailing Address 399 Boylston St.			12 30 2008
	City	State	Zip Code	Transaction ID: 7563653
	Boston	MA	02116-3305	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Natixis Global Asset Mana- gement	Occupation Presiden		
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		500.00	
	Full Name (Last, First, Middle Initial) Mr. Jeffrey D Plunkett			Date of Receipt
	Mailing Address 399 Boylston St.			12 30 4 2008
	City	State	Zip Code	Transaction ID: 7563655
	Boston	MA	02116-3305	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Natixis Global Asset Mana-	Occupation	n e VP, General Counsel	
	gement Receipt For:	- t '	e Year-to-Date	\dashv
	Primary General	Aggregate		7
	Other (specify) ▼	0 0	500.00	
	Full Name (Last, First, Middle Initial) Mr. Robert Hussey	•		Date of Receipt
	Mailing Address 399 Boylston St.			12 30 YYYY 2008
	City	State	Zip Code	Transaction ID: 7563656
	Boston	MA	02116-3305	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Natixis Global Asset Mana- gement	Occupation Executive	n e Vice President	
	Receipt For:	Aggregate	e Year-to-Date ▼	_
	Primary General Other (specify) ▼		500.00	
	UBTOTAL of Receipts This Page (optional)			1500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 24 / 33 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any pene name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUT	TE POLITICAL ACTION COMMITTEE (ICI PAC)
Full Name (Last, First, Middle Initial) Beatriz Smith		Date of Receipt
Mailing Address 399 Boylston St.		12 30 / Y Y Y Y Y Y
City Boston	State Zip Code MA 02116-3305	Transaction ID: 7563657 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Natixis Global Asset Mana- gement	Occupation CFO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial) B. Mr. Matt Coldren		Date of Receipt
Mailing Address 399 Boylston St.		12 30 2008
City Boston	State Zip Code MA 02116-3305	Transaction ID: 7563658 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Natixis Global Asset Mana- gement	Occupation Executive Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial) Mr. Robert Krantz		Date of Receipt
Mailing Address 399 Boylston St.		12 30 Y Y Y Y Y Y
City	State Zip Code MA 02116-3305	Transaction ID: 7563659
Boston FEC ID number of contributing federal political committee.	MA 02116-3305	Amount of Each Receipt this Period 250.00
Name of Employer Natixis Global Asset Mana- gement	Occupation EVP, Strategic Marketing	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
SUBTOTAL of Receipts This Page (optional)		750.00
TOTAL This Period (last page this line number	er only)	<u> </u>

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	X)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 25 / 33 (check only one) X
Any information copied from such Reports at or for commercial purposes, other than using NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE.	g the name and add	dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Caren Leedom Mailing Address 399 Boylston St.			Date of Receipt 1 2 3 0 2 0 0 8
City Boston FEC ID number of contributing federal political committee.	State MA	Zip Code 02116-3305	Transaction ID: 7563663 Amount of Each Receipt this Period 250.00
Name of Employer Natixis Global Asset Management Receipt For: Primary General Other (specify)	Occupation Executive		
Full Name (Last, First, Middle Initial) Mr. Robert P Quinn Mailing Address 3003 Summer St			Date of Receipt 1 2 3 0 2 0 0 8
City	State	Zip Code	Transaction ID: 7563668
Stamford FEC ID number of contributing federal political committee.	C	06905-4316	Amount of Each Receipt this Period 1000.00
Name of Employer GE Mutual Funds	Occupation Board Tr		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. Michael J Cosgrove	I		Date of Receipt
Mailing Address 3003 Summer St			12 30 2008
City	State	Zip Code	Transaction ID: 7563669
Stamford FEC ID number of contributing federal political committee.	C	06905-4316	Amount of Each Receipt this Period 5000.00
Name of Employer GE Mutual Funds	Occupation Pres. & C	n CEO, Mutual Funds	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00	
SUBTOTAL of Receipts This Page (optional	al)		6250.00

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 26 / 33 (check only one) X 11a
	Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	e name and ad	dress of any political committee to	o solicit contributions from such committee.
	NVESTMENT COMPANY INSTITUTI	E POLITICAI	L ACTION COMMITTEE (IC	I PAC)
Α.	Full Name (Last, First, Middle Initial) Mr. Charles G Pohl			Date of Receipt
	Mailing Address One Post Office Sq			12 30 2008
	City	State	Zip Code	Transaction ID: 7563670
	Boston	MA	02109-2106	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		2500.00
	Name of Employer Putnam Funds	Occupation Portfolio	on Manager	
	Receipt For:		e Year-to-Date ▼	
	Primary General Other (specify) ▼		2500.00	
ь. В.	Full Name (Last, First, Middle Initial) Mr. Thomas S Schreier			Date of Receipt
	Mailing Address 601 Second Ave. Sour	th		12 31 2008
	City	State	Zip Code	Transaction ID: 7565569
	Minneapolis	MN	55402-7014	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		3500.00
	Name of Employer First American Funds	Occupation CEO	on	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		3500.00	
с. С.	Full Name (Last, First, Middle Initial) Mr. Thomas M Mistele			Date of Receipt
	Mailing Address 555 California Street 40th Floor			12 31 2008
	City	State	Zip Code	Transaction ID: 7565570
	San Francisco	CA	94104-1501	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		2500.00
	Name of Employer Dodge & Cox	Occupation General		
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		2500.00	
	SUBTOTAL of Receipts This Page (optional)	1		8500.00
-	TOTAL This Period (last page this line number			

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 27 / 33 (check only one) X 11a 11b 11c 12				
Anninformation poriod from such Departs on	d Otatawa auta wa	, ,	13 14 15 16				
Any information copied from such Reports and or for commercial purposes, other than using	d Statements may the name and ad	y not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions of solicit contributions from such committee.				
NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITU	TE POLITICAI	_ ACTION COMMITTEE (IC	I PAC)				
Full Name (Last, First, Middle Initial) Mr. Brent L Holden			Date of Receipt				
Mailing Address 840 Newport Ctr Dr			12 31 YYYYY Y 2008				
City	State	Zip Code	Transaction ID: 7610696				
Newport Beach	CA	92660-6310	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C		2000.00				
Name of Employer PIMCO LLC	Occupatio Investme	n ent Management					
Receipt For:		e Year-to-Date ▼					
Primary General Other (specify) ▼		2000.00					
Full Name (Last, First, Middle Initial) Mr. Brian P Baker			Date of Receipt				
Mailing Address 840 Newport Ctr Dr			12 / 31 / 2008				
City	State	Zip Code	Transaction ID: 7610697				
Newport Beach	CA	92660-6310	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C		2000.00				
Name of Employer PIMCO Advisors	Occupatio Investme	n ent Management					
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 2000.00					
Full Name (Last, First, Middle Initial) Mr. Chris P Dialynas			Date of Receipt				
Mailing Address 15 Pelican Hill Circle	е		12 31 2008				
City	State	Zip Code	Transaction ID: 7610698				
Newport Coast	CA	92657-1714	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C		2000.00				
Name of Employer PIMCO Funds	Occupatio Investme	n ent Management					
Receipt For:	Aggregate	e Year-to-Date 🔻					
Primary General Other (specify) ▼		2000.00					
			6000.00				

A.

В.

C.

SCHEDULE A (FEC Form 3X)			FOR LINE NUMBER: PAGE 28 / 33						
ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the		(check only one)						
II EINIZED RECEIP 13		Detailed Summary Page	X 11a 11b 11c 12						
			13 14 15 16 17						
Any information copied from such Reports and Stor for commercial purposes, other than using the	atements may name and ado	r not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.						
NAME OF COMMITTEE (In Full)									
NVESTMENT COMPANY INSTITUTE	POLITICAL	. ACTION COMMITTEE (ICI	PAC)						
Full Name (Last, First, Middle Initial) Mr. Ernest L Schmider			Date of Receipt						
Mailing Address 453 Santa Ana Ave			12 31 2008						
City	State	Zip Code	Transaction ID: 7610699						
Newport Beach	CA	92663-4131	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		2000.00						
Name of Employer Pacific Inv. Mgmt. Co. LLC	Occupation President	n t, Managing Director							
Receipt For: Primary General	Aggregate	Year-to-Date ▼	,						
Other (specify) ▼		2000.00							
Full Name (Last, First, Middle Initial) Mr. L. W Jacobs, IV			Date of Receipt						
Mailing Address 840 Newport Ctr Dr			12 31 YYYYY 12 31 2008						
City	State	Zip Code	Transaction ID: 7610700						
Newport Beach	CA	92660-6310	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		2500.00						
Name of Employer PIMCO Funds	Occupation Associate	n e, Executive Office							
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General Other (specify) ▼		2500.00							
Full Name (Last, First, Middle Initial) Mr. Mohamed A El-Erian			Date of Receipt						
Mailing Address 840 Newport Ctr Dr			12 31 YYYYY 12 31 2008						
City	State	Zip Code	Transaction ID: 7610701						
Newport Beach	CA	92660-6310	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		3000.00						
Name of Employer PIMCO LLC	Occupation Managing	n g Director							
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General Other (specify) ▼		3000.00							
SUBTOTAL of Receipts This Page (optional)			7500.00						

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	K)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 29 / 33 (check only one) X
Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE.	the name and add	dress of any political committee to	solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Paul A McCulley Mailing Address 2 Sea Greens			Date of Receipt 1 2 3 1 2 0 0 8
City Newport Coast FEC ID number of contributing federal political committee.	State CA	Zip Code 92657-1723	Transaction ID: 7610702 Amount of Each Receipt this Period 1000.00
Name of Employer Allianz Gl. Inv. of America Receipt For: Primary General Other (specify) ▼		n ent Management e Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) Mr. Scott Mather Mailing Address 22 Cliffhouse Bluff			Date of Receipt 1 2 3 1 2 0 0 8
City	State	Zip Code	Transaction ID: 7610703
Newport Coast FEC ID number of contributing	CA	92657-2132	Amount of Each Receipt this Period
federal political committee.	C		2000.00
Name of Employer PIMCO Funds	Occupation Investme	n ent Management	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2000.00	
Full Name (Last, First, Middle Initial) Mr. William Powers			Date of Receipt
Mailing Address 2012 The Strand			12 31 2008
City	State	Zip Code	Transaction ID: 7610704
Manhattan Beach FEC ID number of contributing federal political committee.	CA	90266-4559	Amount of Each Receipt this Period 2000.00
Name of Employer Pacific Inv. Mgmt. Co. LLC	Occupation Investme	n ent Management	
Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 2000.00	
SUBTOTAL of Receipts This Page (optional	al)		5000.00

Internation copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions from such actives of any political committee to solicit contributions from such committee.	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the					
ANAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC) Full Name (Last, First, Middle Initial) Mr. William S Thompson. Jr. Malling Address 15 Wildhawk City State Zip Code [Irvine CA 92603-0302] FEC ID number of contributing feoderal political committee. Primary General Other (specify) ▼								
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC) Full Name (Last, First, Middle Initial) Mr. William S Thompson, Jr. Mailing Address 15 Wildhawk City Invine CA 92603-0302 FEC ID number of contributing tederal political committee. CI Primary General Other (specify) ▼ CI State Zip Code Invine CA 92603-0302 FULL State CD Aggregate Year-to-Date V Date of Receipt Transaction ID: 7610705 Amount of Each Receipt this Period Aggregate Year-to-Date V 12 31 200.00 Date of Receipt Aggregate Year-to-Date V 12 31 200.00 Date of Receipt Aggregate Year-to-Date V 12 31 200.00 Date of Receipt Aggregate Year-to-Date V 12 31 200.00 Date of Receipt Aggregate Year-to-Date V 12 31 200.00 Date of Receipt V 12 31 31 32 30 30 30 30 30 30 30 30 30 30 30 30 30	Any information copied from such Reports and Sta or for commercial purposes, other than using the n	atements mag name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.				
Mr. William's Thompson, Jr. Mailing Address 15 Wildhawk City State Zip Code CA 92603-0302 FEC ID number of contributing federal political committee. Name of Employer Primary General Other (specify) ▼ State Zip Code CA 92600-0310 FEUI Name (Last, First, Middle Initial) Mr. Curits A Mewborne Mailing Address 840 Newport Ctr Dr City State Zip Code CA 92660-6310 FEC ID number of contributing federal political committee. C State Zip Code CA 92660-6310 FEC ID number of contributing federal political committee. C State Zip Code CA 92660-6310 FEC ID number of contributing federal political committee. C State Zip Code CA 92660-6310 FEC ID number of contributing federal political committee. C State Zip Code CA 92660-6310 FEC ID number of contributing federal political committee. C State Zip Code CA 92660-6310 Transaction ID: 7610706 Amount of Each Receipt this Period Aggregate Year-to-Date ▼ Date of Receipt Transaction ID: 7610706 Amount of Each Receipt this Period Director of Public Affairs Date of Receipt Transaction ID: 7610706 Amount of Each Receipt this Period Date of Receipt Transaction ID: 7610706 Amount of Each Receipt this Period Transaction ID: 7610706 Amount of Each Receipt this Period Transaction ID: 7610706 Amount of Each Receipt this Period Transaction ID: 7610708 Amount of Each Receipt this Period Transaction ID: 7610708 Amount of Each Receipt this Period Transaction ID: 7610708 Amount of Each Receipt this Period Transaction ID: 7610708 Amount of Each Receipt this Period Transaction ID: 7610708 Amount of Each Receipt this Period Transaction ID: 7610708 Amount of Each Receipt this Period Transaction ID: 7610708 Trans	` '	POLITICAL	_ ACTION COMMITTEE (IC	I PAC)				
City	, , ,			Date of Receipt				
Invine	•			M M / D D / Y Y Y Y				
PEC ID number of contributing federal political committee. C	City	State	Zip Code	Transaction ID: 7610705				
Name of Employer PliMCO Funds CEO	Irvine	CA	92603-0302	Amount of Each Receipt this Period				
PIMCO Funds Receipt For: Primary General Crumber of contributing federal political committee. Crumber of contr		C		2000.00				
Receipt For: Primary General Aggregate Year-to-Date ▼ Primary General 2000.00 Primary General 2000.00 Date of Receipt 12	Name of Employer PIMCO Funds							
Primary General Other (specify) ▼	Receipt For:		*					
Mr. Curtis A. Mewborne Mailing Address 840 Newport Ctr Dr City State Zip Code CA 92660-6310 FEC ID number of contributing federal political committee. Name of Employer PliMCO Advisors Receipt For: Primary General Other (specify) ▼ City State Zip Code CA 92660-6310 C 2000.00 C 2000.00 C 2000.00 Date of Receipt Transaction ID: 7610706 Amount of Each Receipt this Period Amount of Each Receipt this Period C 2000.00 Date of Receipt Transaction ID: 7610706 Amount of Each Receipt this Period Date of Receipt Transaction ID: 7610708 Amount of Each Receipt Transaction ID: 7610708 Amount of Each Receipt Transaction ID: 7610708 Transaction ID: 7610708 Amount of Each Receipt Transactio	Primary General	33.133.10						
Mailing Address 840 Newport Ctr Dr City State Zip Code CA 92660-6310 FEC ID number of contributing federal political committee. Name of Employer Primary General Other (specify) ▼				Date of Receipt				
Newport Beach FEC ID number of contributing federal political committee. Name of Employer PlMCO Advisors Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Matthew A Yale Mailing Address 200 East Randolph Dr. Ste. 2900 City State Zip Code IL 60601-6436 FEC ID number of contributing federal political committee. Name of Employer Ariel Investments, LLC Name of Employer Ariel Investments, LLC Receipt For: Primary General Occupation Director of Public Affairs Regregate Year-to-Date ▼ Amount of Each Receipt this Period Date of Receipt Transaction ID: 7610708 Amount of Each Receipt this Period				M M / D D / Y Y Y Y				
FEC ID number of contributing federal political committee. Name of Employer PIMCO Advisors	City	State	Zip Code	Transaction ID: 7610706				
Name of Employer PIMCO Advisors	Newport Beach	CA	92660-6310					
Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Matthew A Yale Mailing Address 200 East Randolph Dr. Ste. 2900 City State Zip Code Chicago IL 60601-6436 FEC ID number of contributing federal political committee. Name of Employer Ariel Investments, LLC Receipt For: Primary General Aggregate Year-to-Date ▼ Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		С		2000.00				
Primary General Other (specify) ▼ Pull Name (Last, First, Middle Initial) Mr. Matthew A Yale Mailing Address 200 East Randolph Dr. Ste. 2900 City State Zip Code Chicago IL 60601-6436 FEC ID number of contributing federal political committee. Name of Employer Ariel Investments, LLC Receipt For: Primary General Primary General Other (specify) ▼ Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	Name of Employer PIMCO Advisors							
Mr. Matthew A Yale Mailing Address 200 East Randolph Dr. Ste. 2900 City State Zip Code Chicago IL 60601-6436 FEC ID number of contributing federal political committee. Name of Employer Ariel Investments, LLC Receipt For: Primary General Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	Primary General	Aggregate						
Ste. 2900 City Chicago FEC ID number of contributing federal political committee. Name of Employer Ariel Investments, LLC Primary General State Zip Code IL 60601-6436 Transaction ID: 7610708 Amount of Each Receipt this Period 400.00				Date of Receipt				
Chicago IL 60601-6436 Amount of Each Receipt this Period C 400.00 Name of Employer Ariel Investments, LLC Receipt For: Primary General Primary General Amount of Each Receipt this Period 400.00 Aggregate Year-to-Date Occupation Director of Public Affairs	200 2001 11011001011 211							
FEC ID number of contributing federal political committee. Name of Employer Ariel Investments, LLC Receipt For: Primary General A00.00 A00.00	-		•					
Receipt For: Primary General Occupation Director of Public Affairs Aggregate Year-to-Date Quality Aggregate Year-to-Date Occupation Director of Public Affairs Aggregate Year-to-Date		IL	60601-6436	Amount of Each Receipt this Period				
Ariel Investments, LLC Director of Public Affairs Receipt For: Primary General Director of Public Affairs Aggregate Year-to-Date ▼		С		400.00				
Primary General 900 00	Name of Employer Ariel Investments, LLC							
\rightarrow 000 00 \perp		Aggregate	e Year-to-Date ▼					
		0 0	900.00					

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 31 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports and St or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE			on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Matthew McGuire			Date of Receipt
Mailing Address 200 East Randolph Dr. Ste. 2900			12 31 2008
City Chicago	State IL	Zip Code 60601-6436	Transaction ID: 7610710
FEC ID number of contributing federal political committee.	C	00001-0430	Amount of Each Receipt this Period 250.00
Name of Employer Ariel Investments, LLC	Occupation	n nt Management	1
Receipt For: Primary General Other (specify) ▼	-	Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial) Ms. Aimee Daley			Date of Receipt
Mailing Address 200 East Randolph Dr. Ste. 2900			12 31 7 2008
City Chicago	State IL	Zip Code 60601-6436	Transaction ID: 7610711
FEC ID number of contributing federal political committee.	C	00001-0430	Amount of Each Receipt this Period 250.00
Name of Employer Ariel Investments, LLC	Occupation Vice Pres	n sident, Marketing Communic	atio
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial) Cheryl A Cargie			Date of Receipt
Mailing Address 200 East Randolph Dr. Ste. 2900			12 31 7 2008
City Chicago	State IL	Zip Code 60601-6436	Transaction ID: 7610712 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	00001 0000	500.00
Name of Employer Ariel Investments, LLC	Occupation	nt Management	1
Receipt For: Primary General Other (specify) ▼	-	Year-to-Date ▼ 500.00	
SUBTOTAL of Receipts This Page (optional)			1000.00
			108400.00

SCHEDULE B (FEC Form 3X)

		-	Use separate schedule	s)		R LINE eck only				L	. ,	= 32/3	
ITE	MIZED DISBURSEM	ENTS	for each category of the Detailed Summary Page	;		21b 27	22 28a	Х	23 28b	2 2	4 8c	25 29	26
or for	Information copied from such Re r commercial purposes, other tha NAME OF COMMITTEE (In Full) NVESTMENT COMPANY IN	n using the name	e and address of any politic	al con	nmitt	ee to sol	icit contr						•
· в	Full Name (Last, First, Middle Initi Bennett Election Committee Mailing Address 175 South	,	Suite 650				Date			: 755 ement		ž o ŏ 8	Y
S	City Salt Lake City Purpose of Disbursement		State Zip Code UT 84101	T -			Amou	nt of	Each	Disbu		nt this F	-
S	Candidate Name Sen. Robert F. Bennett	Diahuras	oment Ferri 2010		01 ⁻ ateg Typ	ory/							
	Office Sought: House X Senate President State: UT District:		ement For: 2010 Primary General Other (specify)	l 									
· c	Full Name (Last, First, Middle Initi Ditizens For Bunning Mailing Address 1717 Dixie	al) Highway Suite	e 180						sburs	: 755 ement	V	ž 0 ŏ 8	Y
F	City Et Wright Purpose of Disbursement		State Zip Code KY 41011	Tr	01		Amou	nt of	Each	Disbu		nt this F	
S 0	Candidate Name Sen. Jim Bunning Office Sought: X Senate President State: KY District:		ement For: 2010 Primary General Other (specify)		ateg Typ	,							
F	Full Name (Last, First, Middle Initi loe Wilson For Congress Co	,					Date		sburs	: 755 ement			Y
_	Mailing Address Post Office		State Zip Code				1 2			Biohu		2 0 0 8	
V	Oity Nest Columbia Purpose of Disbursement		SC 29171	T	_	-	Amou	TIL OI	Lacii	DISDU		000.00	
	Candidate Name Rep. Joe Wilson				01 ateg Typ	ory/							
	Office Sought: X House Senate President District: 02		ement For: 2010 Primary Genera Other (specify)	1									
	BTOTAL of Disbursements This	D (ii)									1	000.00	<u> </u>

В.

C.

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	NUMBER: PAGE 33/33	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	22 X 23 24 25 26 28a 28b 28c 29 30b
Any Information copied from such Reports and Staten or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full) INVESTMENT COMPANY INSTITUTE PC			
Full Name (Last, First, Middle Initial) Majority Committee PAC (McPAC)			Transaction ID: 7552728
Mailing Address P.O. Box 1605			Date of Disbursement 1 2 1 8 2 0 0 8
	7'- 0-4-		
City Alexandria	State Zip Code VA 22313		Amount of Each Disbursement this Period
Purpose of Disbursement		011	1500.00
Candidate Name Majority Committee PAC (McPAC)		Category/ Type	
Senate President	ement For: Primary General Other (specify)		
State: District: Full Name (Last, First, Middle Initial)			Transaction ID: 7552729
Buckeye Liberty PAC			Date of Disbursement
Mailing Address 1155 21st Street NW Suite 300			12
City Washington	State Zip Code DC 20036		Amount of Each Disbursement this Period
Purpose of Disbursement		011	1000.00
Candidate Name Buckeye Liberty PAC		Category/ Type	
Senate President	ement For: Primary General Other (specify)		
State: District: Full Name (Last, First, Middle Initial)			Transaction ID: 7610716
Investment Company Institute			Date of Disbursement
Mailing Address 1401 H St., NW			12 M / 15 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Washington	State Zip Code DC 20005-3148		Amount of Each Disbursement this Period
Purpose of Disbursement		011	2079.16
Candidate Name Sen. Ron Wyden		Category/ Type	
ÿ	ement For: 2010 Primary General Other (specify)		
SUBTOTAL of Disbursements This Page (optional)			4579.16
TOTAL This Period (last page this line number only)			8579.16